



DEPARTMENT OF HEALTH & HUMAN SERVICES

Program Support Center
Financial Management Service
Division of Cost Allocation

7700 Wisconsin Avenue, Suite 2300
Bethesda, MD 20814
Phone: 301-492-4855
Fax: 301-492-5081

February 6, 2012

Ms. Kerry Peluso
Associate Vice President for Research Administration
Emory University
1599 Clifton Road NE, 4th Floor
Mailstop 1599/001/1BH
Atlanta, GA 30322

Dear Ms. Peluso:

The original and one copy of an indirect cost Rate Agreement are enclosed. This Agreement reflects an understanding reached between your organization and a member of my staff concerning the rate(s) that may be used to support your claim for indirect costs on grants and contracts with the Federal Government.

Please have the original signed by an authorized representative of your organization and return it to me, retaining the copy for your files. We will reproduce and distribute the Agreement to the appropriate awarding organizations of the Federal Government for their use.

An indirect cost proposal, together with the supporting information, are required to substantiate your claim for indirect costs under grants and contracts awarded by the Federal Government. Thus, your next proposal based on actual costs for the fiscal year ending 08/31/14, is due in our office by 02/28/15.

Sincerely,

Darryl W. Mayes
Director, Mid-Atlantic Field Office
Division of Cost Allocation

Received
2012 MAR 15 PM 3:25
Grants & Contracts

Enclosures

PLEASE SIGN AND RETURN THE ORIGINAL OF THE RATE AGREEMENT

ORIGINAL**COLLEGES AND UNIVERSITIES RATE AGREEMENT**

EIN: 1580566256A1

DATE: 02/06/2012

ORGANIZATION:

Yerkes National Primate Research Center
of Emory University
312 Administration Building
Atlanta, GA 30322

FILING REF.: The preceding
agreement was dated
11/14/2007

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: INDIRECT COST RATES

RATE TYPES:	FIXED	FINAL	PROV. (PROVISIONAL)	PRED. (PREDETERMINED)
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EFFECTIVE PERIOD

TYPE	FROM	TO	RATE (%)	LOCATION	APPLICABLE TO
FINAL	09/01/2010	08/31/2011	44.00	On-Campus	Core Grant
FINAL	09/01/2010	08/31/2011	76.00	On-Campus	Research
FINAL	09/01/2010	08/31/2011	76.00	On-Campus	Non-Fed. Research
PRED.	09/01/2011	08/31/2012	44.00	On-Campus	Core Grant
PRED.	09/01/2011	08/31/2012	76.00	On-Campus	Research
PRED.	09/01/2011	08/31/2012	76.00	On-Campus	Non-Fed. Research
PRED.	09/01/2012	08/31/2015	45.00	On-Campus	Core Grant
PRED.	09/01/2012	08/31/2015	78.50	On-Campus	Research
PRED.	09/01/2012	08/31/2015	78.50	On-Campus	Non-Fed. Research

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE (%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PROV.	09/01/2015	Until Amended			Use same rates and conditions as those cited for fiscal year ending August 31, 2015.

*BASE

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

ORGANIZATION: Yerkes National Primate Research Center of Emory University

AGREEMENT DATE: 02/06/2012

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

For fringe benefits see the Fringe Benefit section of the Rate Agreement issued to Emory University for the appropriate time period.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

OFF-CAMPUS DEFINITION: For all activities performed in facilities not owned by the institution and to which rent is directly allocated to the project(s) the off-campus rate will apply. Grants or contracts will not be subject to more than one F&A cost rate. If more than 50% of a project is performed off-campus, the off-campus rate will apply to the entire project.

Equipment means an article of nonexpendable tangible personal property having a useful life of more than one year, and an acquisition cost of \$5,000 or more per unit.

ORGANIZATION: Yerkes National Primate Research Center of Emory University

AGREEMENT DATE: 02/06/2012

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Office of Management and Budget Circular A-21 Circular, and should be applied to grants, contracts and other agreements covered by this Circular, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

Yerkes National Primate Research Center of Emory University

(INSTITUTION)

(SIGNATURE)

Edith C Murphree

(NAME)

VP for Finance

(TITLE)

(DATE)

3/6/12

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

(SIGNATURE)

Darryl W. Mayes

(NAME)

Director, Mid-Atlantic Field Office

(TITLE)

2/6/2012

(DATE) 1117

HHS REPRESENTATIVE: Steven Zuraf

Telephone: (301) 492-4855